

Cash Balance Benefit Program

Rollover Certification—Instructions

AR 261 rev 1/16

GENERAL INFORMATION

Under federal law, CalSTRS can accept rollovers from 401(a), 401(k), 403(b) and 457(b) plans and SEP, Traditional or Conduit IRAs.

A conduit IRA is an IRA that holds only assets that are attributable to a distribution that was rolled over from a qualified retirement plan.

If funds will be rolled over from more than one qualified retirement plan, a *Cash Balance Rollover Certification* form must be completed for the distribution from each plan.

A rollover must comply with applicable state and federal laws and related regulations. CalSTRS suggests you contact the IRS and another qualified tax consultant for advice before submitting a *Cash Balance Rollover Certification* form.

CalSTRS must receive a properly executed *Cash Balance Rollover Certification* form before the rollover distribution can be accepted.

Return the completed *Cash Balance Rollover Certification* form to:

CalSTRS
P.O. Box 15275, MS 11
Sacramento, CA 95851-0275

Cash Balance Benefit Program Rollover Certification

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CALSTRS
California State Teachers' Retirement System
P.O. Box 15275, MS 11
Sacramento, CA 95851-0275
800-228-5453
CalSTRS.com

PARTICIPANT'S NAME (LAST, FIRST, INITIAL)

CLIENT ID OR SOCIAL SECURITY NUMBER

Please read instructions on the previous page before completing this form.

Complete this form to request that CalSTRS accept a rollover to your Cash Balance Benefit Program employee account. A copy of this form is required for each distribution from each plan or financial institution from which you wish to roll over funds. *Please mail the completed form to the address above.*

I request that CalSTRS accept a rollover of pre-tax (tax-deferred) funds from another plan to the CalSTRS Cash Balance Benefit Program. I understand that CalSTRS cannot accept a rollover of post-tax (not tax-deferred) funds.

Full surrender value (total amount in fund); estimated account balance is \$ _____

OR

Exact dollar amount of \$ _____

The type of plan from which I wish to roll over funds is:

401(a) 401(k) 403(b) 457(b) SEP IRA IRA (Traditional or Conduit)

If you are rolling over a distribution from a conduit IRA, please attach certification from the other qualified plan to verify the IRA funds originated from a qualified plan. CalSTRS cannot accept rollovers from ROTH, SIMPLE or Coverdell IRAs.

NAME OF FINANCIAL INSTITUTION

ACCOUNT NUMBER

ADDRESS OF FINANCIAL INSTITUTION

CONTACT NAME

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CITY, STATE & ZIP CODE

TELEPHONE NUMBER (INCLUDING AREA CODE)

I understand that CalSTRS will rely on information contained in this form to determine whether or not to accept this rollover. I certify that the information provided is correct. I understand that failure to provide accurate information to CalSTRS may result in significant penalties from the IRS if my rollover is later found to be invalid.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct. I understand that perjury is punishable by imprisonment for up to four years (Penal Code section 126).

I understand it is a crime to fail to disclose a material fact or to make any knowingly false material statements for the purpose of altering a benefit administered by CalSTRS and it may result in penalties, including restitution, up to one year in jail and a fine of up to \$5,000 (Education Code section 22010).

PRINT NAME

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PHONE NUMBER

SIGNATURE

DATE (MM/DD/YYYY)



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